

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

<http://www.senate.gov/lobby>

LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check One: ☐ New Registrant ☐ New Client for Existing Registrant ☐ Amendment

1. Effective Date of Registration 11/04/2009

2. House Identification _____

Senate Identification 400528561

REGISTRANT ☒ Organization/Lobbying Firm ☐ Self Employed Individual

3. Registrant Mr. Michael G. Oxley

Address 7629 Huntmaster Lane Address2 _____
City McLean State VA Zip 22102 - Country USA

4. Principal place of business (if different than line 3)

City _____ State _____ Zip _____ - Country _____

5. Contact name and telephone number

☐ International Number

Contact Peggy Peterson Telephone (202) 861-1691 E-mail ppeterson@bakerlaw.com

6. General description of registrant's business or activities

Senior Advisor to the Board of Directors, NASDAQ OMX

CLIENT

A Lobbying Firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10. ☐ Self

7. Client name NASDAQ OMX Group, Inc.

Address One Liberty Plaza
City New York State NY Zip 10006 - Country USA

8. Principal place of business (if different than line 7)

City _____ State _____ Zip _____ - Country _____

9. General description of client's business or activities

exchange, trading technology, public company services

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within twenty years of first acting as a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Michael G.	Oxley		U.S. Representative, Fourth Ohio Congressional District

LOBBYING ISSUES

11. General lobbying issue areas (Select all applicable codes).

FIN

12. Specific lobbying issues (current and anticipated)

Lynch amendment to require independent derivatives clearinghouses to have a majority of independent directors and to cap control of voting stock by restricted owners to 20 percent.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$5,000 to the lobbying activities of the registrant in a quarterly period and either actively participates in and/or in whole or in major part plans, supervises or controls the registrant’s lobbying activities?

- ☒ No --> Go to line 14.
- ☐ Yes --> Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Internet Address:

Name	Address				Principal Place of Business
	Street	City	State/Province	Zip Code	
					City
					State
					Country
					City
					State
					Country
					City
					State
					Country

FOREIGN ENTITIES

14. Is there any foreign entity

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13: or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

- ☒ No --> Sign and date the registration.
- ☐ Yes --> Complete the rest of this section for each entity matching the criteria above, then sign the registration.

Name	Street	Address		Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership
		City	State/Province			
				City		%
				State	Country	
				City		%
				State	Country	

Signature

Filed Electronically

Date

01/05/2010

Printed Name and Title Michael G. Oxley, Senior Advisor to the Board of Directors, NASDAQ OMX Group, Inc.